

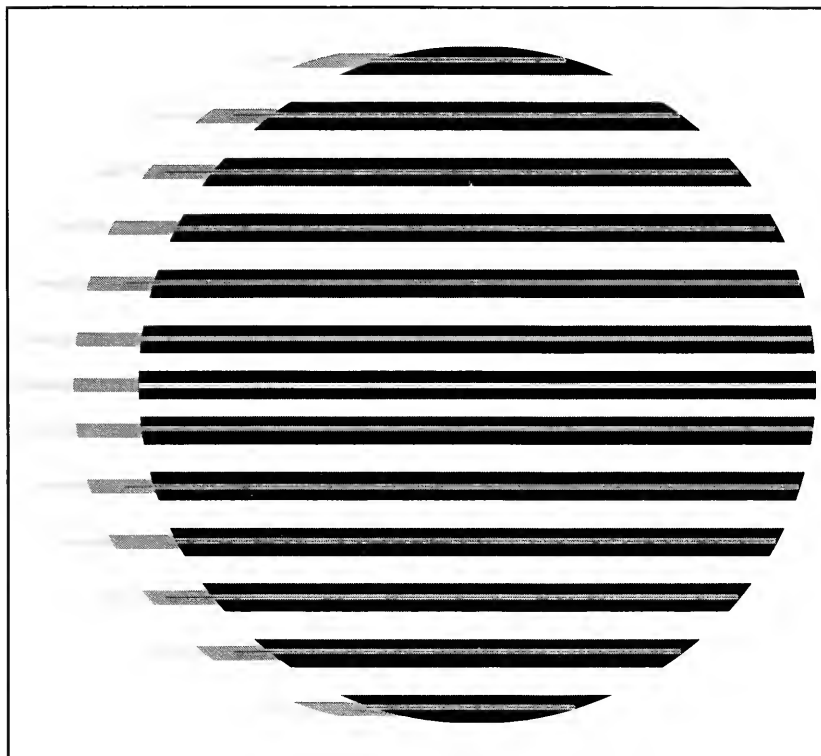
IASSIST

Q U A R T E R L Y

VOLUME 24

Winter 2000

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IASSIST QUARTERLY

The IASSIST QUARTERLY represents an international cooperative effort on the part of individuals managing, operating, or using machine-readable data archives, data libraries, and data services. The QUARTERLY reports on activities related to the production, acquisition, preservation, processing, distribution, and use of machine-readable data carried out by its members and others in the international social science community. Your contributions and suggestions for topics of interest are welcomed. The views set forth by authors of articles contained in this publication are not necessarily those of IASSIST.

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Editor's Notes

Most articles in the IASSIST Quarterly stems from presentations given at sessions at the yearly IASSIST conference. This vol. 24-4 issue of the IQ shows that other articles are very welcome as well. Mary J. Lee from the University of Notre Dame gives us an introduction to an environmental database the "Toxics Release Inventory" and this project has not been presented at IASSIST earlier. You can have a look at the database at the web-site: <http://www.epa.gov/tri>. Secondly, Alejandro Delgado-Gómez from Cartagena City Council-Archives had intended to participate in the Amsterdam 2001 IASSIST conference. This plan was not realized, he was however able to send his paper to the conference. The paper addresses the subject of storage and dissemination of cultural heritage at a local level in the form of the complex area of city planning. Lastly, Robert Strötgen from the German Social Science Information Centre (IZ) in Bonn and Rolf Uher at Central Archive for Empirical Social Science Research (ZA) at the University of Cologne made a presentation and contributed to the success of the Amsterdam 2001 conference. Their concern is computer assisted merging and archiving of distributed international comparative data. The ISSP DataWizard is a software tool facilitating these functions for the International Social Survey Programme. If you did not make it to the Amsterdam 2001 conference or you want to have a look at the presentations from the conference you should take a look at the IASSIST web-site. Your editor is collecting both presentations and papers from the conference. The papers will appear in this newsletter while the presentations will be available for view at the IASSIST web-site (<http://www.iassistdata.org>).
Karsten Boye Rasmussen - August 2001

Toxics Release Inventory - An Environmental Database

Data Background/Description

This paper describes a publicly available database called the Toxics Release Inventory (TRI), which provides a valuable data source of environmental information. The TRI data are machine-readable microdata at the industrial facility level and are provided free with unlimited access to the public. The data collection approach for this database is innovative because it uses the information collection provision as the regulatory instrument.

by Mary J. Lee*

Following a chemical-release accident in Bhopal, India, the U.S. Congress passed the Emergency Planning and Community Right-to-Know Act (EPCRA) in 1986. Under these provisions, manufacturing facilities with 10 or more employees in Standard Industrial Classification (SIC) codes 20 through 39 are required to publicly disclose their annual toxic release to air, water, and land as well as off-site transfers. The U.S. Environmental Protection Agency (EPA) compiled these annual reports into the TRI database. Because of the mandatory requirement of data provision and its inclusion of a public's right-to-know provision, this database provides a reliable source of environmental performance information.

Since the initial data release in 1989, the number of reporting facilities and chemicals has been increased. Seven industrial sectors have been added to the original reporting manufacturing industries. These include electric utilities, coal mining, metal mining, chemical wholesalers, petroleum bulk plants and terminals, solvent recovery and hazardous waste treatment, storage, and disposal. New data for 1998 were released in 2000 covering seven industrial sectors. There is a two-year time lag in the release of TRI data. For example, the most recent data release in 2000 is for the reporting year 1998.

The TRI data have become a primary source of environmental performance information for a broad range of user groups. These include social scientists, environmentalists, government officials, investors, consulting firms, journalists, health professionals, etc. The international organizations have also recently joined the group of TRI users.

Data Dissemination/Search Engines

Data Access

Technological progress has brought major changes in data management and data analysis system. The data storage and data access are much easier due to the faster speed of personal or mainframe computers, larger data storage capacities and the development of the Internet.

Accessibility and media format options for the TRI have also changed. The TRI data are currently available on floppy diskette, CD-ROM, or through the Internet.

The floppy diskettes contain the most frequently used data elements including each facility's identification numbers, county, city, state, zip code, SIC code, parent company name, chemical name and chemical registry number, total releases to the air, water, land, underground injection and off-site transfers. They also include the longitude and latitude of the facility and Federal Information Processing Standards (FIPS) code. The CD-ROM edition is comprised of two CDs. Disc one has the TRI data for 1987-1990. Disc two contains data for 1991-1996. The basic features of the CD-ROM include: user guide, combining searches using Boolean operators, displaying records, exporting records in several formats, creating custom reports and calculating the data using KASTAT. The TRI data on the Internet is available for the time span of 1988-1998.

TRI Explorer

The TRI Explorer is a search engine that provides access to the TRI data on the Internet. The initial version of the TRI Explorer included on- and off-site release data. The latest version added waste transfer and waste management data to the original toxic release data. This search engine allows you to identify facilities and their chemical release. Data can be disseminated into release, waste transfer and waste quality reports. Data can be grouped according to five criteria: facility, chemical, year or industry type and geographic area at the county, state or national level. Waste management reports include recycling, energy recovery, treatment as well as off-site waste transfers. A trends report option is also available for the core chemicals. Metadata on the web provides valuable information including detailed data element descriptions. Data elements provide both facility identification information and chemical-specific information.

Other TRI Sites on the Internet

On-line searching for the TRI data is available using the web sites such as Envirofacts: Data Warehouse and Applications and the National Library of Medicine (NLM) TOXNET System. The Envirofacts Warehouse includes multiple environmental databases that allow you to retrieve environmental information from several EPA databases. Spatial data are available using the Maps on Demand applications. TOXNET (Toxicology Data Network) is a cluster of databases on toxicology, hazardous chemicals, and other related environmental or public health areas.

International Development Systems

International TRI-like System

There has been a growing global movement for information database on toxic release. International TRI-like system is called as Pollutant Release and Transfer Registers (PRTRs). International organizations started some initiatives to implement the development of PRTRs. The PRTRs in the world include: Canada: National Pollutant Release Inventory (NPRI), United Kingdom: Pollutant Inventory (PI), Mexico: Registro de Emisiones y Transferencia de Contaminantes (RETC), Australia: National Pollutant Inventory (NPI) and Czech Republic: Pollutant Release and Transfer Register (PRTR). Among three North American PRTRs, Canada has PRTR data starting 1993. Mexico collects PRTR data from industrial facilities on a voluntary basis. The Commission for Environmental Cooperation (CEC), an environmental organization created by the North American Free Trade Association (NAFTA), compiles the data and publishes an annual report on the North American PRTRs.

Asian countries also participated in this international movement. Japan hosted the most recent international conference on PRTRs: National and Global Responsibility in September 1998. Indonesia developed similar public disclosure program called Program for Pollution Control, Evaluation and Rating (PROPER). Indonesia's National Pollution Control Agency initiated this pollution control program to evaluate the environmental performance of Indonesian factories. The Philippines followed Indonesia's footsteps. The Philippines' Department of Environment and Natural Resources recently started a public disclosure program called EcoWatch modeled on Indonesia's PROPER program.

International Organization PRTR Sites

The international movement on PRTRs stems from the 1992 Earth Summit, also called the United Nations Conference on Environment and Development (UNCED). Several international organizations now have their own home page for the development of PRTRs: Organization for Economic Co-operation and Development (OECD) PRTR homepage, United Nations Environmental

Programme (UNEP) PRTR homepage, UNITAR PRTR homepage and World Bank PRTR homepage.

Further Data Usability

Growing international interest in toxic release information presents the opportunity to combine the various databases and compare each country's toxic releases and waste management activities. It also provides the possibility of developing an international toxic release database in the future. This would be in addition to the existing data archives and would be beneficial both to academic researchers and government policy makers. Wide use of this database will also provide industries with the incentive to improve existing pollution abatement technology.

In addition to the unified international database, it is suggested that a comprehensive database may be developed using existing databases from other areas. One such area is public health, where human health risks could be measured using the TRI and other health information databases. Other health information databases include the Hazard Information on Toxic Chemicals, Integrated Risk Information System (IRIS), and ToxFAQs™ by the Agency for Toxic Substances and Disease Registry (ATSDR). Another area is finance and economy, where the financial effects of environmental information can be assessed using the financial databases. They include the Center for Research in Security Prices (CRSP) database and the Standard & Poor's Compustat database.

Conclusion

The Toxics Release Inventory database is a valuable resource as a database for researchers in the area of environmental studies, health and business. In addition, it provides policy makers and the public with a reliable source of information on toxic emissions and serves as a regulatory tool for the management of industrial pollutants. In addition, the availability of TRI data along with similar efforts in other countries provides an incentive for cooperative efforts in international reporting and analysis of toxic release data.

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Harmonising Methods of Disseminating Urban Heritage

Introduction

In cities with a long history, we can often find a high level of dispersion of the cultural heritage, as well as methods of preserving, describing and disseminating it. This paper explores a process to reach the harmonisation of documentation and retrieval of cultural heritage at a local level. Although this process is valid in any context, we will focus our example on the most complex area that we have found: city planning.

Cartagena is a medium size city, with a long history starting from the Carthaginian period. The city owns several collections connected to planning and landscape, although, as a sample, we will concentrate this presentation on the development of the city carried out between 1875 and 1934, known, at a local level, as the "Ensanche." During this period there was an effort to modernise and enrich the city with a strong Modernist orientation, coincident with a period of industrialisation, promoted by foreign investors. In addition, nowadays the city is remodelling the old Ensanche, in such a way that the Council and private organisations are generating a significant volume of active records. The first remodelling, on the other hand, generated files, architectural drawings, archival documents, administrative regulations, as well as ancillary products, like bibliographic essays, photographs, paintings, etc. Of course, the different quarters and buildings are the main product of that effort. Therefore, we can discriminate, conventionally, two kinds of collections, "static" and "dynamic":

1. Dynamic - Records, still active, related to urban reforms in progress, as well as to the retrieval of Carthaginian, Roman and Modernist architectural heritage. We are interested, at the moment, in this last period.

2. Static - Different cultural collections, including archival files, plans, drawings, photographs, etc.; museological and bibliographic collections, ancient serials, some samples of paintings and other fine arts, etc.

Additionally, not all of this documentation is owned by the local government, but also by individuals, foundations,

private companies, etc.

Of course, these collections have not been preserved and described in a consistent way over the years. As an obvious example, the active documentation is managed through the organisation of the information programs, and the closed documentation through

more static databases.

Since Cartagena is a growing city, oriented towards tourism and heritage retrieval, and, at the same time, with a population strongly involved in his cultural environment, one of the priorities of the Council is the documentation, preservation, restoration and, mainly, dissemination of the history in a consistent way.

The solution we show uses, as a pretext, a physical and digital exhibition of the urban history of the city harmonising in such a way preservation, description and dissemination of the above mentioned materials. We must notice, however, the fact that the cultural heritage of the city, as well as the urban planning, has been managed rather poorly for years. This implies a constraint in the harmonisation process. The first constraint is the chaotic situation that we found among the records. The second constraint is determining a way to arrange in some conventional way the information. These two tasks have to succeed before moving to more sophisticated techniques and procedures.

Contents and organisation of the information

We have to work with two quite different kinds of documents, data repositories and, as a consequence, information:

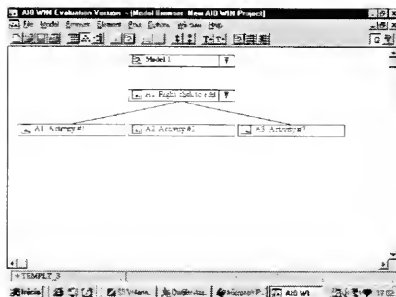
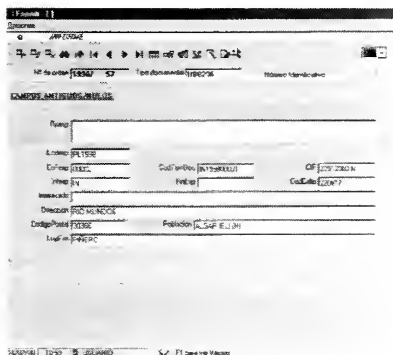
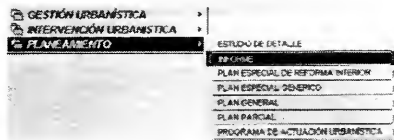
1. The Urban Planning Department is developing a "new city" and generating a great deal of new information. It is using a conventional computer supported co-operative workflow tool, based on Microsoft products: Visual Basic, Access and so on. However, because the Department is divided into several offices, some of them are still using obsolete programs and tools, for instance, WordPerfect 5.1 or 486 processors. Because most of the staff doesn't have strong computer skills, we cannot remove suddenly an

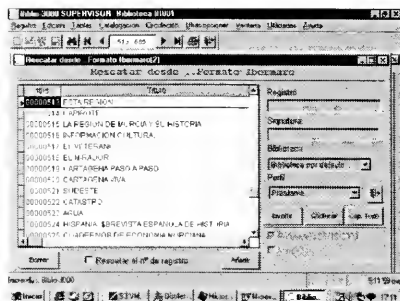
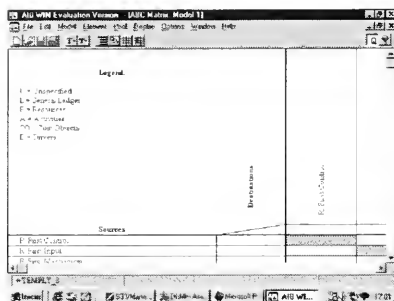
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- Trasferenți Internare**
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- Trasferenți
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- Determina de la Comisia Informații
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- Publicații din societate în care SSTT
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b) The CSCW. Since this is a more updated system, we are using it, at the moment, like an intermediary step, with two aims: on the one hand, to train the staff in new uses of the technology; on the other, to migrate newly created records, in such a way we can minimise the “disturbing” effect of the oldest databases.

c) Development of a modeling system. We need to develop a system that is capable of structuring the information that the Urban Planning Department is generating. The users do not want to know the modeling system. There needs to be an interface to the system. In such a way, we will migrate data according to our interests, avoiding a negative reaction on behalf of the Department. To reach this end, we are using the well-known IDEF techniques, specifically IDEF0 and IDEF5, such as developed by KBSI.





Nombre del subconjunto de información	Formato de archivo
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d) Interface. We will develop a mask with the appearance of a "Windows-based program" but actually independent of any platform.

This seemingly strange mixture of procedures, techniques and tools allows us to allow for a structured migration of information with a minimal impact on the staff that input the information. It allows us to reconcile and harmonise this information with other databases.

2. The Councillor of Culture Office, on the other hand, is promoting the retrieval, arrangement and dissemination of cultural heritage, in all its different facets, that is to say: museums, libraries, archives, buildings, fine arts, etc. Since all of these are better-consolidated areas, the situation is not as problematic as with the Urban Planning Department. These institutions are using relational databases to describe their items, although not the same model of databases: Access, Fox Pro, Dbase, etc. It will be easier to implement changes here. These staff are skilled professionals who understand the concept of harmonisation and have strong computer skills.

However, in spite of the co-operative staff, we have an additional problem. Because of the relationships between Urban Planning and historical and cultural information there is a permanent flow and re-flow of documents and information. We are modeling cultural institutions in a similar way, in order to reconcile procedures and techniques. Our aim is not to reach one homogeneous database, but to allow every professional to manage his or her own databases. However, all will follow similar procedures, which will make the retrieval of information easier for the professional, the intermediary and the end-user. All of this means that we have to deal at least with the following "instances", and their relationships:

- a) Active records, managed according three different means: old databases, based on MS-DOS platforms and DBF files; recent databases, based on Windows platforms and MDB files; and a mask to replace the former databases.
- b) Non-active records and files, consisting of:
 - Archival materials, since 1245 containing a highly dispersed range of information.
 - Bibliographic materials, dealing mainly with the history of the urban development of the city.
 - Ancient serials, newspapers and other newspaper materials, also with a high level of dispersion, as they reported the first Modernist development of the city day-by-day.
 - Cartographic materials and other plans, drawings and projects.
 - Ancillary materials, such as photographs, paintings and other fine and decorative arts, etc.
 - Buildings and other architectural items, ranging from squares, markets, façades, fountains, to parts of buildings such as doors or windows, which are protected by the regulations about cultural heritage.



Since most of these materials are hosted by dissimilar kinds of institutions their records are collected and maintained differently. Some of them are registered and described by means of word processors - others by means of different relational databases. The highest level of homogeneity is being reached by public institutions, because of an agreement between the Archives Department, the Data Processing Centre and a private company. In this way, we are solving at least one of the problems. Both the Archives, the Libraries and the Public Museums are using only one programming language - Visual Fox Pro - and only one associated kind of relational database. In addition, they are describing their materials according to a single structure -

the ISO 2709 standard, making in this way the interchange of information easier. Each type of repository uses the most adequate description standards: USMARC formats, ISAD(G)2, CIDOC standards. This issue is irrelevant, since our intention is to obtain a homogeneous structure to interchange information, and an indexing and classification system capable of retrieving those information from disparate points. We are aware of the technological poverty of this solution. On the one hand, we think that, at least, it is realistic, and allow us to put a bit of order into the chaos. On the other, this solution allows us to use a distributed database, instead of disparate and heterogeneous data repositories. Visual Fox Pro, merged with some other proprietary applications, allows us to manage the documents and the information in a robust and reasonably flexible way. Of course, all of us hope this will be, also, a temporary solution.

Comarcas Universales Visual FoxPro

Comarcas Universales Visual FoxPro

Proceso	Recurso	Forma	Tipos de Recursos	Acciones	Indicadores
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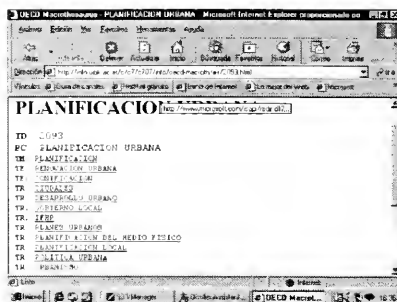
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Finally, and since, as we said, this situation is provisional and cannot be sustained for a long time, we have been planning a technological process, currently in progress, to ensure a persistent harmonisation of materials and associated data and data repositories, through the use of modelling techniques and metadata languages.

Technological steps

The following is a list of all the technological steps in this process. Some of them are finished while others are still in progress.

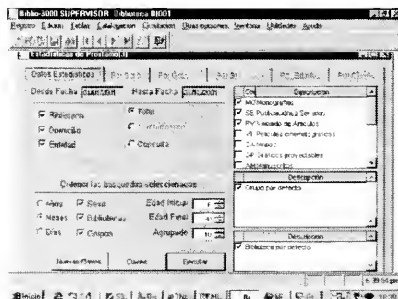


1. Digitisation of materials not digitised yet, or associated documentation in the case of archaeological and architectural items. Most of the significant materials for public institutions - buildings, architectural items and drawings, archival documents regarding the first urban reforms have been digitised. This is not the case for private institutions. Therefore, one priority is to digitise these materials. However, we have finished a complete union catalogue of the architectural heritage.

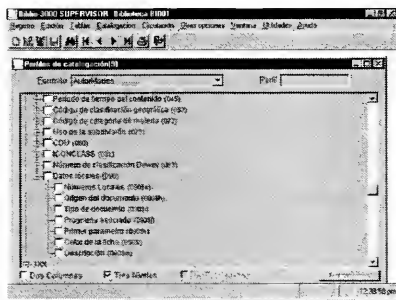
2. Analysis of materials, in order to develop a model of classification and indexing, allowing a refined retrieval in a subsequent step. Since one of our main interests is a sophisticated retrieval of the information, oriented to users' needs, not strictly to contents, a detailed definition of the ontology, as well as its entities, attributes and elements is a *sine qua non* requirement. With regards to thematic indexation, we are using the OECD Macrothesaurus, as it is simple, and allows quite a correct retrieval, taking into consideration contents are not our primary interest. A classification according to the users' needs is more complicated, as it implies a market analysis and the use of statistical and psychological devices. At the moment, we are using a conventional solution, perhaps too easy, but useful: we are classifying the items according to some of the UDC auxiliary tables, basically, that for people. In such a way we can retrieve information according to the users' age, skills, education or planned use of the information. Obviously, a combined search, by indexing terms and users' classification is also possible. A more refined classification will have to wait.

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With regards to the analysis of the end-users, we have added a module to create and control statistical data. At the moment it is quite simple, but we are finishing a new and more sophisticated module, and a model of survey to be incorporated next academic year.



3. Development of a generic relational database This will allow the professionals to enter any kind of basic data. At a second level will allow them to add any kind of relevant information. As we said above, we are able to customise these databases, by creating profiles according to the different kinds of professionals' needs.

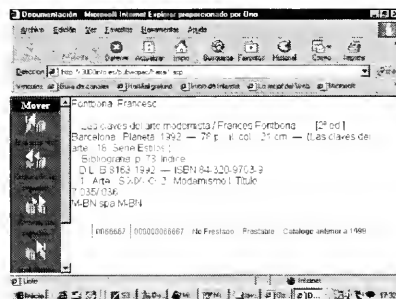
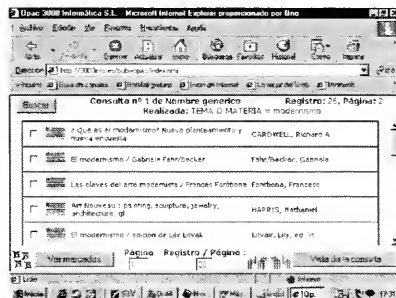
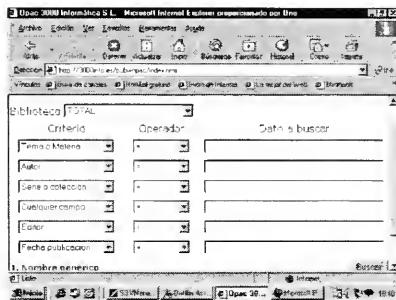


4. Conversion to a generic metadata language. This was quite a problematic issue, basically because of the current "inflation" of specialised metadata languages. After reviewing carefully the state-of-the-art, we had to make a decision between two options:

- To use a simple, basic, language, to interchange information. The most obvious example is Dublin Core; but we realised elements in Dublin Core were clearly insufficient to accommodate an exhaustive description, necessary in some cases.

- To use specific metadata languages for each type of data repository. For instance, CHIO for museums, EAD for archives, MARC-DTD for libraries, TEI for publishing departments, and so on; but this option was, simply, unmanageable, and, in addition, we ran the risk of returning to the initial chaotic situation. We took into consideration the use of only one specific language, in different contexts -maybe ILSes or EAD-, but, even so, both of them are difficult to reconcile with active records description, that requires quite a qualitatively different method, such as, for instance, an adaptation of GILS or DDI.

Finally, we refused these options, and chose an easier one. Since cultural heritage databases are using a standardised language, MARC, easy to convert, and even the active records are going to finish their life-cycle in the archives, we are working, simply, with XML and associated, and displaying the information by means of HTML and associated.



5. Design of a search engine capable of filtering the information, not according to its contents, but according to the users' interests. At the moment we have to work with conventional tools: the IFLA Guidelines to display information through OPACs, and the ANSI/NISO Z39.71 standard to display holdings. We can customise also this search engine, according to different users' needs, as well as the printed reports.

6. Develop satisfactory "visual" outputs. We are dealing with a thematic digital library, with a large visual component. Thus, we must also work on "visual" outputs, both to satisfy the end-users and the involved professionals, using ourselves VRML technologies, and allowing them to use authoring tools. At the moment, we have to be simple. Each item has a description and associated with it, one or more "contextual files", depending on their relevance: static images, sound, video or text.

7. If the mentioned steps are developed correctly - and at the moment that is the situation- we will be able to elaborate any kind of digital or physical output - website, intranet, kiosk, OPAC, DVD, webTV, printed materials...- using consistent and conventional technologies. Anyway, outputs are not a problem for us, if we can harmonise different databases in a distributed virtual database. In fact, even although we will have to fight still against the chaos for a long while, we are developing the website, the Intranet and the OPACs, based on the current achievements. These outputs, at the moment in progress, will replace the current tools at the users' disposal: a poor website, a rather unfriendly OPAC, and a TV channel lacking information. We hope we will be able to put into operation some of the outputs by summer, and the project will be finished in no more than one year.

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ISSP Data Wizard – Computer Assisted Merging and Archiving of Distributed International Comparative Data

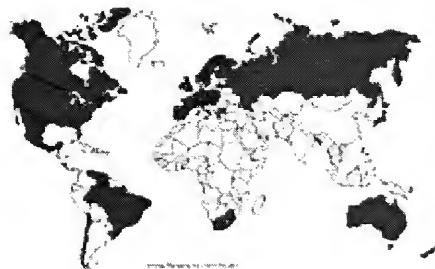
Since 1985 the International Social Survey Programme (ISSP) has conducted annual social surveys in the participating countries covering relevant topics from the Social Sciences. The ISSP was founded in the early eighties by four Social Science Research Institutes for the purpose of adding an international comparative aspect to the existing national social surveys. The founding members were:

*by Robert Strätgen & Rolf Uher**

background variables, and
4. make the data available to the social science community as soon as possible.

As of 2001 the number of participants has grown from the original four countries to 38 countries worldwide. The map shows the geographical distribution of the current ISSP members.

Country	Institute	Survey
USA	NORC National Opinion Research Center, University of Chicago	GSS General Social Survey
Germany	ZUMA Zentrum für Umfragen, Methoden und Analysen	ALLBUS Allgemeine Bevölkerungsumfrage (Sozialwissenschaften)
Great Britain	The National Center for Social Science (former Social and Community Planning Research – SCPR), London	BSA British Social Attitudes
Australia	RSSS Research School of Social Sciences, Australian National University, Canberra	NSSS National Social Science Survey



The member states are:

Australia	Japan
Austria	Latvia
Bangladesh	Mexico
Brazil	Netherlands
Bulgaria	New Zealand
Canada	Norway
Chile	Philippines
Cyprus	Poland
Czech Republic	Portugal
Denmark	Russia
Finland	Slovakian Republic
Flanders	Slovenia
France	Spain
Germany	South Africa
Great Britain	Sweden
Hungary	Switzerland
Ireland	Taiwan
Israel	USA
Italy	Venezuela

The ISSP agreed to four general principles:

1. jointly develop topical modules dealing with important areas of social science
2. field the modules as a fifteen-minute supplement to the regular national surveys (or a special survey if necessary)
3. include an extensive common core of

The first ISSP Survey in 1985 was conducted in 6 countries, the original 4 plus Italy and Austria and had the topic 'Role of Government'. The following topics have been fielded since then or are being planned for the near future:

Topics 1985 - 2004

* Role of Government	> 1985, 1990, 1996
* Social Networks	> 1986, 2001
* Social Inequality	> 1987, 1992, 1999
* Family	> 1988, 1994, 2002
* Work Orientations	> 1989, 1997
* Religion	> 1991, 1998
* Environment	> 1993, 2002
* National Identity	> 1995, 2003
* Citizenship	> 2004

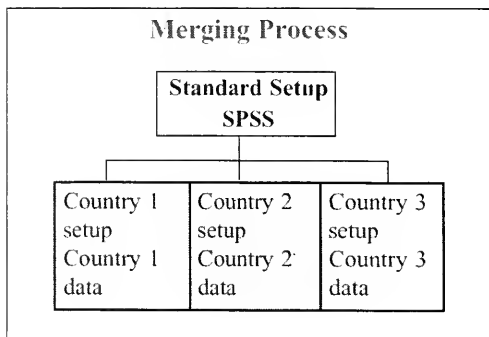
Replicating topics over time has enriched the international comparative aspect by adding a time-series component.

At the 1986 ISSP meeting in Mannheim the Zentralarchiv¹ was chosen as the 'Archive of the ISSP'. The tasks of the Zentralarchiv are to archive, check and maintain data and documentation of the country-specific studies and distribute it to the scientific community. Following the structure of the questionnaire and the set of standard background variables, an international comparative data set is prepared accompanied by extensive and detailed comparative documentation. This documentation, also known as a codebook, includes all information that is necessary to analyze and interpret the data set. In addition to the methodological and technical description of the survey the codebook includes the complete questions and answer categories, the frequency-distributions broken down by countries, and also country-specific details like deviations from the agreed standard, problems with translations of indicators and the like.

The first step in creating the international file is the production of a 'Standard Setup'. This includes the desired structure of the integrated file, the variable-names, variable-labels, codes, value-labels and the definition of the missing values. The starting point for the production of the 'Standard Setup' is the basic questionnaire of the respective ISSP module and the set of standard background variables defined for the ISSP.

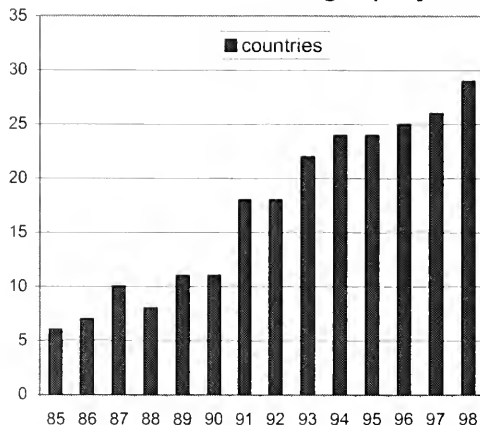
Even though this 'Standard Setup' is being distributed to the participating countries in advance, a number of cases have remarkable deviations from the desired standard, which must be considered in the process of merging the country-data to the integrated file prior to archiving the data.

Merging Process



Starting with 6 country data sets in 1985, by 1998 the ISSP had grown to include 28 different data sets, dramatically increasing the workload for preparing the merged file.

of data-sets to be merged per year



The amount of work that is necessary to harmonize one country-data-set to the standard can be measured by the amount of different documents that have to be viewed and used in order to understand all the details of the country-specific conditions.

Documents needed for processing:

- * ISSP Basic questionnaire
- * Standard setup
- * Standard background variable
- * Codebooks of earlier ISSP Modules
- * Frequencies of original data
- * Original questionnaire

- * Dictionaries
- * English documentation
- * Re-coding documentation
- * Frequencies of re-coded data
- * Other sources: internet, ISCO, statistical yearbooks, etc.

The predefined standard is being considered in different degrees of quality. The number of recode-statements to harmonize the country data sets to the final standard varies from 50 to 300, in one case over 1000 recode-statements were needed to merge the file. In all of these cases each recode-statement must be checked and proofed to determine whether the desired results have been attained.

The time and resource consuming nature of this process lead to ideas for developing a tool that would efficiently support the harmonizing process. The instrument needed to compare the pre-defined standard with the actual country specific setup with the following processing criteria. The first comparison should be done automatically resulting in a list of all deviations. The deviations in the setup and in the dataset itself are then corrected through individual recoding. The interface for this process must be user-friendly. The system should create a detailed report of all steps in the process.

ISSP Data Wizard:

- * Maps original with standard setup
- * Provides a comparative view
- * Assigns variables and values to standard
- * Re-codes data to standard
- * Allows for individual data-processing
- * Reports processing steps

The concept of the ISSP DataWizard is being developed cooperatively by the GESIS (German Social Science Infrastructure Services) institutes of the Central Archive for Empirical Social Research (ZA) in Cologne and the German Social Science Information Center (IZ) in Bonn.

The first 'real' application after the explicit test-phase will be done with the 2000 ISSP module on 'Environment II'. In a further stage of development the ISSP DataWizard will be prepared for distributed use so that the ISSP participants can prepare their data-sets at their home institutions. Thus the expectation is high that the quality of the data delivered to the archive will be significantly higher according to the pre-defined standard, thereby facilitating the final steps of merging the files to a common international data-set.

Implementation of the ISSP DataWizard

The ISSP DataWizard is implemented at the German Social Science Information Center (IZ) as a Java/Swing

Application.² The use of a platform independent application is an advantage, particularly when distributing the tool to ISSP project partners in different countries. Although the data are stored in an Oracle database, the tool can be used just as readily on any relational JDBC-capable database server.

Great importance is attached to providing an ergonomic user interface in order to provide users with a sound level of support, focus their attention on the main aspects of their work and not burden them with the unimportant areas or information. The WOB model («tool metaphor based strictly object orientated graphic direct manipulative user interface») is a set of software ergonomic proposals which, in their entirety, were designed to create efficient and «natural» user interfaces (Krause 1995). The development of the ISSP DataWizard was orientated on this model.

Based on the analyses of current work processes carried out cooperatively by ZA and IZ, an attempt has been made to optimize support for the steps involved in processing the ISSP modules. In this context, the Wizard manages modules covering the associated default and national setups, country-specific study descriptions and survey records. (See Fig 1 : *Managing study descriptions with the ISSP DataWizard*)

An import and export interface provides the capability of reading and writing SPSS setup and data files. The open XML standard of the Data Documentation Initiative³ is also supported. This permits the use of a flexible and maker-independent data format and also enables a straightforward exchange of data with other tools supporting this standard.

The setup that defines variables and values with codes, labels and comments - thus matching the questionnaire - can be viewed, edited or even re-created using the DataWizard. It is also possible to alter the sequence of variables and values. This can be done both for the default questionnaire of a module as well as for country-specific questionnaires that implement this standard. In addition to making entries in the editor, users can also adopt variables and values from other modules, e.g. in the case of permanent demographic variables, where questions are asked that pertain to a module with a subject area covered in a preceding module, or in conjunction with values on scales that are used for several variables. It is also conceivable for partners to copy the entire default setup and make the requisite country-specific changes to the copied version so as to reduce the number of transfer errors, such as scale reversals, etc. (See Fig 2 : *Managing setups with the ISSP DataWizard*)

The central function of the ISSP DataWizard is to compare country-specific setups with the default setup. To this end, the work carried out 'intellectually' at the ZA has been

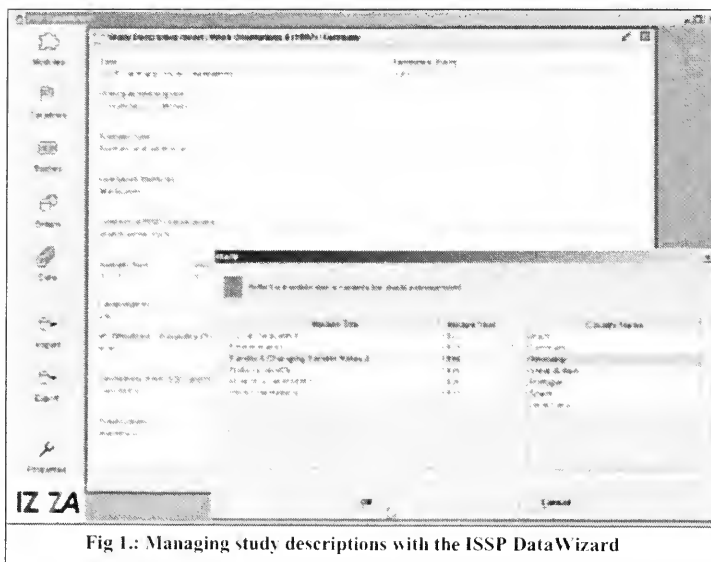


Fig 1.: Managing study descriptions with the ISSP DataWizard

analyzed and – in the simple cases – translated into rules wherever possible. An automatic mapping process, for instance, identifies reversed scales, incorrect variable codes

values into the default setup that have been «overlooked» for a particular country without having to switch to the part for processing the default setup. (See Fig 3 : *Post-editing setup assignments using the ISSP DataWizard*)

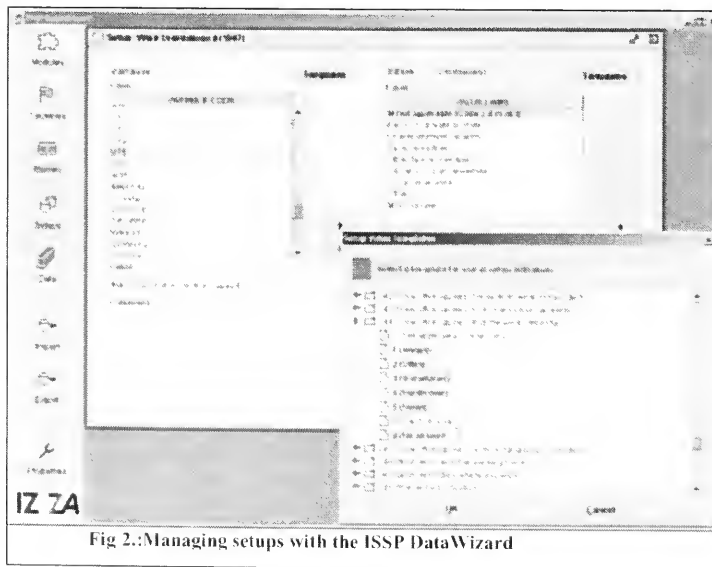


Fig 2.: Managing setups with the ISSP DataWizard

or similar problems and marks them as errors. Variables and values capable of being assigned beyond doubt are marked «OK», and dubious assignments highlighted for intellectual investigation. In the process of intellectual post-processing, which cannot be avoided, these problem cases are highlighted (e.g. using colour markings and an error browser) whereas unequivocal assignments require no further attention. The editor for post-processing compares a country-specific setup with its allocated default setup and synchronizes the display in order to quickly provide a clear view of the information relevant to a variable. Assignments can be made from context-related selection lists, thus reducing the likelihood of making incorrect entries and easing the demands on the user's attentiveness. This way, it is also possible to adopt

The database archives both the reference setups of ISSP partners as well as the edited versions. This means it is possible at any time to access the data submitted and check the adaptations made. In addition, it is possible to document all checks and assignments in a text file that additionally contains a complete concordance between a country-specific setup and the relevant default setup. This creates transparency in terms of merging and mapping: any suspected error can be reliably investigated.

Adaptations to the setups (to the structure of the data collected) must also be applied to the data itself. Divergent value codes, reversed scales, etc. must – as adapted in the setup – also be corrected in the data. The

necessary re-coding of data takes place automatically on the basis of the mapped setup. Here too, the database archives the data originally submitted as well as edited version.

It is also possible to employ customized rules in order, for example, to examine the consistency of filter queries or to re-code country-specific indices to a default index, provided this is possible without intellectual scrutiny. Users are provided with complex tools for creating and applying these rules. (See Fig 4 : Simple data visualization with the ISSP DataWizard)

Finally, users have the capability of viewing data in straightforward counts that allow them to carry out simple checks, e.g. what age distributions to expect, etc. Here, it is also possible to compare the reference data version with the edited version and thus identify the result of the mapping and re-coding process. However, these capabilities only serve to monitor the success of merging; the actual data analysis is still to be carried out using the normal statistics program packages.

Whereas the current version of the ISSP DataWizard was primarily developed to support the ZA in merging international data, future versions will have to be developed towards the work distribution between ZA and ISSP project partners. It will be necessary to resolve questions of data exchange, access rights etc.

Conclusion

The ISSP DataWizard represents a tool that reduces the effort involved in merging ISSP records as well as the possibility of errors by automating simple activities and offering support to users in the necessary process of intellectual post-editing. Once the tool has proven its worth in practice, it must be further improved and optimized towards the requirements of the

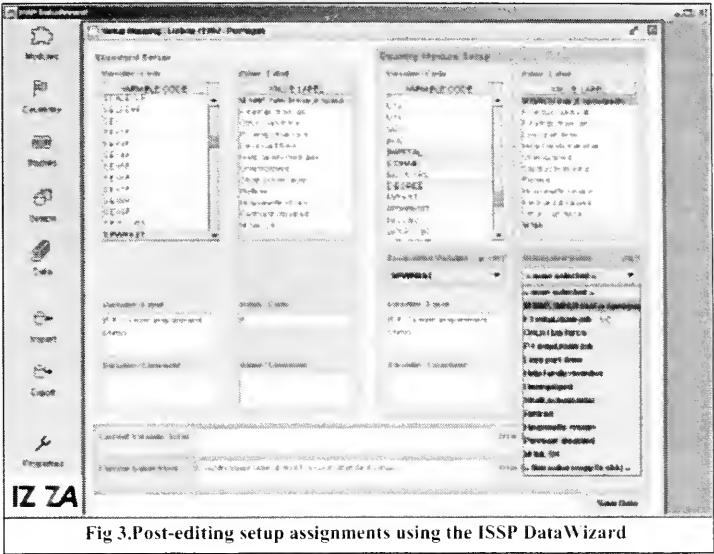


Fig 3.Post-editing setup assignments using the ISSP DataWizard

applications for which it is used. A general extension of the methods used beyond the ISSP context for similar application areas cannot be ruled out.

A conceivable area for future development is the addition

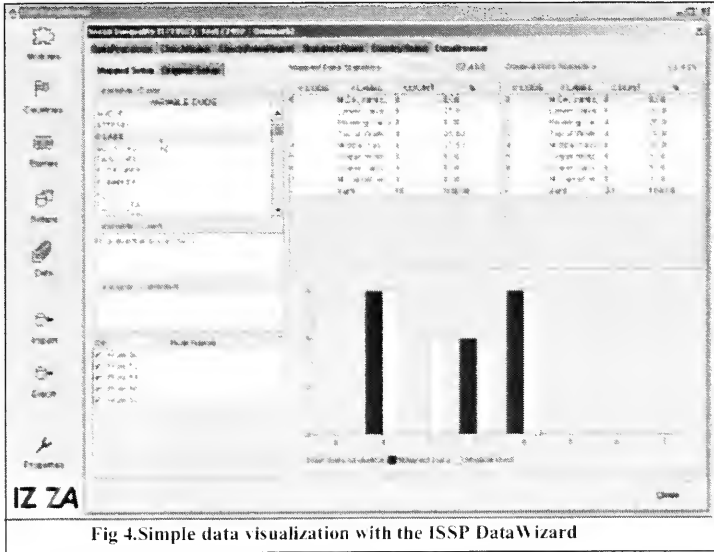


Fig 4.Simple data visualization with the ISSP DataWizard

of further elements of artificial intelligence to the ISSP DataWizard that do not focus solely on the data structure of default setup. For instance, plausibility rules could be introduced that contain the anticipated spread of characteristics and provide warnings where country-specific data stray beyond the given confidence intervals. This way, the user would be drawn to critical points and could consider taking a closer look at the data and documents. Developments of this type will need to be the subject of further concept formulation.

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Footnotes

¹ Since 1997 the Zentralarchiv is supported in its work by

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² <http://www.icpsr.umich.edu/DDI/>

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